

04-06-01

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PTO/SB/05 (08/00)

Approved for use through 10/31/2002, OMB 0651-0032

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 1.53(b)

Attorney Docket No. 11980076

First Inventor Olsen, et al

Title Method, Article of Manufacture

Express Mail Label No. EK837074365US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patent
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See CFR 1.27.
3. ☒ Specification [Total Pages / 28 /]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets / 5 /]
5. ☒ Oath or Declaration [Total Pages / 3 /]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Checks in the amount of \$782, \$188, \$40

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: ___/___

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

NAME	R. Kent Roberts				
	Hodgson Russ LLP				
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"Express Mail" Mailing Label Number EK837074365US

Date of Deposit April 5, 2001

04/05/01 3962 U.S. PTO

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FEE TRANSMITTAL

for FY 2001

Patent Fees are subject to annual revision.

Application Number			
Filing Date		April 5, 2001	
First Named Inventor		Olsen, et al	
Examiner Name			
Group/Art Unit			
TOTAL AMOUNT OF PAYMENT		(\$) 1,010	
Attorney Docket Number		11983.0076	

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

2. ☒ Payment Enclosed:
☒ Check ☐ Credit Card ☐ Money Order ☐ Other

3. ADDITIONAL FEES

Deposit Account Number:	08-2442	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Hodgson Russ LLP							
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		105	130	205	65	Surcharge - late filing fee or oath	\$
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
FEE CALCULATION		147	2,520	147	2,520	For filing a request for reexamination	\$
1. FILING FEE Large Entity Small Entity		112	920*	112	920*	Requesting Publication of SIR prior to Examiner Action	\$
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid				
101 710	201 355	Utility filing fee	\$ 710	115	110	215 55	Extension for reply within first month
106 320	206 160	Design filing fee	\$	116	390	216 195	Extension for reply within second month
107 490	207 245	Plant filing fee	\$	117	890	217 445	Extension for reply within third month
108 710	208 355	Reissue filing fee	\$	118	1,390	218 695	Extension for reply within fourth month
114 150	214 75	Provisional filing fee	\$	128	1,890	228 945	Extension for reply within fifth month
SUBTOTAL (1)			\$ 710	119	310	219 155	Notice of Appeal
2. EXTRA CLAIM FEES		Extra Fee from Claims below	Fee Paid				
Total Claims / 30/ -20** = /10/ x /18/ =		\$ 180	120	310	220	155	Filing a brief in support of an appeal
Independent Claims /4/ - 3** = /1/ x /80/ =		\$ 80	121	270	221	135	Request for oral hearing
Multiple dependent / / x / / =		\$	138	1,510	138	1,510	Petition to institute a public use proceeding
Large Entity Small Entity			140	110	240	55	Petition to revive - unavoidable
Fee Code (\$)		Fee Code (\$)	Fee Description				
103 18	203 9	Claims in excess of 20		141	1,240	241 620	Petition to revive - unintentional
102 80	202 40	Independent claims in excess of 3		142	1,240	242 620	10 advance copies Utility issue fee (or reissue)
104 270	204 135	Multiple dependent claim if not paid		143	440	243 220	Design issue fee
109 80	209 40	**Reissue independent claims over original patent		144	600	244 300	Plant issue fee
110 18	210 9	**Reissue claims in excess of 20 and over original patent		122	130	122 130	Petitions to the Commissioner
SUBTOTAL (2)			\$ 260	123	50	123 50	Petitions related to provisional applications
				126	240	126 240	Submission of Information Disclosure Statement
SUBTOTAL (3)			\$ 40	581	40	581 40	Recording each patent assignment per property (times number of properties)
SIGNATURE: R. Kent Roberts			146	710	246	355	Filing a submission after final rejection(37 CFR 1.129(a))
Reg. No. 40,786			149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))
DATE: April 5, 2001 Telephone: (716) 848-1510		*Reduced by basic filing fee paid		SUBTOTAL (3)		\$ 40	

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